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JC05 Rec'd PCT/PTO 2 5 MAR 2002

CASE PP/1-22042/A/CGM 499/PCT

**CERTIFICATE OF MAILING**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Lynn Girolamo  
Type or print name

Lynn Girolamo  
Signature

3/15/02  
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

ANDRES KRAMER ET AL

APPLICATION NO: 10/019,618

FILED: DECEMBER 20, 01

FOR: MONO AND MULTIFUNCTIONAL

ALKOXYAMINES FOR THE PREPARATION

OF FUNCTIONALIZED MACROMERS

Group Art Unit:

Examiner:

Assistant Commissioner for Patents

Washington, D.C. 20231

**LETTER**

Sir:

In response to the Notification of Missing Requirements under 35 USC 371 in the United States Designated/Elected Office, dated Feb. 27, 2002, Applicants offer the following remarks.

The notice indicates that nucleotide and/or amino acid sequence disclosure of the application is not in compliance.

Applicants submit that the present application does not disclose any subject matter directed towards nucleotide and/or amino acid sequences.

The present application is directed towards alkoxyamines for the preparation of functionalized macromers.

Applicants submit that the Notification of Missing Requirements is erroneous and that the present application is complete.

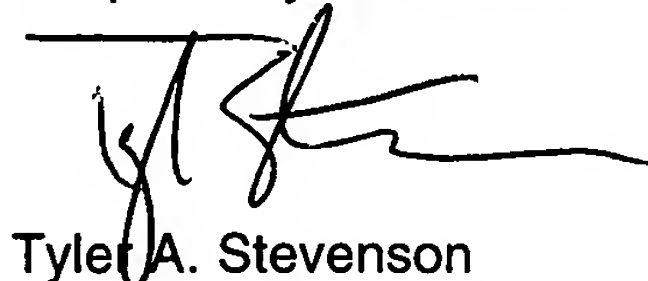
The PTO is respectfully requested to withdraw the Notice of Missing Requirements.

These remarks are submitted within the time period for reply and no extension of time is required.

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**MAR 15 2002**

Respectfully submitted,



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Agent for Applicants  
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UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/019,618	Andreas Kramer	PP/1-22042/A/CGM 499/PCT

INTERNATIONAL APPLICATION NO.
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PCT/EP00/05899

I.A. FILING DATE	PRIORITY DATE
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06/26/2000

07/02/1999

JoAnn Villamizar Ciba Specialty Chemicals  
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**RECEIVED**

MAR 7 2002

ANDREA DECECCHIS  
 DOCKET COORDINATOR  
 PATENT DEPARTMENT

CONFIRMATION NO. 1679

371 FORMALITIES LETTER



\*OC000003007517643\*

Date Mailed: 02/27/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments

**DOCKETED**  
**FOR:** April 27, 2002

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

PP/1-22042/A/CGM 499/PCT

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
  - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
  - **APPLICANT MUST PROVIDE:**
    - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
    - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
    - A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
    - For Rules Interpretation, call (703) 308-4216
    - To Purchase PatentIn Software, call (703) 306-2600
    - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
  - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 305-3631

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/019,618	PCT/EP00/05899	PP/1-22042/A/CGM 499/PCT